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Sue Bromaghin
Sue Bromaghin

CUSTOMER NO. 36257

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Jeffrey LUTZE et al.
Title: Self Aligned Non-Volatile Memory Cell and Process for Fabrication
Application No.: 10/600,259 Filing Date: June 20, 2003
Examiner: Thao P. LE Group Art Unit: 2818
Docket No.: SNDK.310US0 Conf. No.: 7482

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:


Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) call(s) the documents listed on the enclosed Form PTO-1449 to the Examiner's attention in this patent application.

- ☒ According to 37 C.F.R. 1.98(2)(ii), copies of the U.S. Patents and U.S. Published Patent Applications documents are not required and are therefore not enclosed. Copies of the listed foreign patent documents or Other Art are enclosed.

Citation of these documents shall not be construed as (1) an admission that the documents are prior art with respect to the invention or inventions claimed in this application, (2) a representation that a search has been made (other than as indicated by any cited document), or (3) an admission that the cited information is, or is considered to be, material to patentability as defined in § 1.56(b).

This information disclosure statement is submitted under 37 C.F.R. § 1.97(b) and consequently no fee should be required. The Commissioner is authorized, however, to charge any fee that may be required, or to credit any overpayment, against Deposit Account No. 502664. This form is being submitted in duplicate.

Respectfully submitted,


Gerald P. Parsons
Reg. No. 24,486

August 25, 2005
Date

PARSONS HSUE & DE RUNTZ LLP
655 Montgomery Street, Suite 1800
San Francisco, CA 94111
(415) 318-1160 (main)
(415) 318-1162 (direct)
(415) 693-0194 (fax)

U.S. Department of Commerce, Patent and Trademark				Atty. Docket No.		Application No.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				SNDK.310US0		10/600,259	
				Applicant(s)		Conf. No.	
(Use several sheets if necessary)				JEFFREY LUTZE et al.		7482	
(Form PTO-1449)				Filing Date		Art Group	
				June 20, 2003		2818	

U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	1	5,389,808	02/1995	ARAI et al.			
	2	6,060,360	05/2000	LIN et al.			
	3	5,459,091	10/1995	HWANG et al.			

U.S. Published Patent Application Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	4	2002/160570 A1	10/2002	TSENG			

Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
5	PATENT ABSTRACTS OF JAPAN, Patent No. JP5190809, Published July 1993, Kawasaki Steel Co., 1 page.	
6	PATENT ABSTRACTS OF JAPAN, Patent No. JP2001 135736, Published May 2001, NEC Corp., 1 page.	
7	TAIWAN PATENT OFFICE Preliminary Notice of Rejection of the IPO issued in corresponding Taiwan application, dated June 21, 2005, 9 pages (translation attached).	

Examiner	Date Considered
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.